State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-91

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1999 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

Engine Family	Liters	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
XCPXL27.OMRH	27.0	(1656)	Turbocharger Smoke Puff Limiter Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (q/bhp-hr)			<u>Smoke</u>	Smoke Opacity (%)		
<u>THC</u>	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

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Exhaust Emissions (g/bhp-hr)			Smoke Opacity (%)			
THC	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Luq</u>	<u>Peak</u>
0.1	1.9	6.4	0.2	18	8	26

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BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this day of December 1998.

R) B. Summerfield, Chief

Mobile Source Operations Division

10/21/98

LARGE ENGINE MODEL SUMMARY

EO: U-R-1-91

Manufacturer: CATERPILLAR INC. Process Code: New Submission EPA Engine Family: XCPXL27.0MRH Manufacturer Family Name: NA 4. Fuel Rate: 5.Fuel Rate: 7.Fuel Rate: 3.BHP@RPM mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM 8. Fuel Rate: 9.Emission Control mm/stroke@peak 2.Engine Model 1.Engine Code (SAE Gross) (for diesel only) (for diesels only) (SEA Gross) (lbs/hr)@peak torque Device Per SAE J1930 torque Note: Peak HP and Peak Torque fuel rates are nominal values. ion engine avgs. Due to productthese fuel rates may change. 1 - Cert Engine 3412 750 @ 1800 220 266.1 2430 @ 1350 248 225.0 EM, DI, TC, SPL, 2 3412 725 @ 1800 213 257.7 2328 @ 1350 238 216.3 EM, DI, TC, SPL, 3 3412 715 @ 1800 253.8 210 2287 @ 1350 234 212.4 EM, DI, TC, SPL, 3412 650 @ 1800 230.0 190 2039 @ 1350 209 189.7 EM, DI, TC, SPL, 3412 625 @ 1800 182 220.9 1949 @ 1350 200 181.4 EM, DI, TC, SPL. 6 3412 750 @ 1900 211 269.9 2393 @ 1350 245 222.6 EM, DI, TC, SPL,C 3412 750 @ 2000 203 273.5 2342 @ 1400 EM, DI, TC, SPL, 237 222.9 8 3412 725 @ 2000 196 263.6 2244 @ 1400 227 213.4 EM, DI, TC, SPL, 9 3412 750 @ 2100 195 276.1 2289 @ 1400 231 217.8 EM, DI, TC, SPL. 10 3412 725 @ 2100 188 266.0 2187 @ 1400 222 209.1 EM, DI, TC, SPL, 11 3412 700 @ 2100 182 256.6 2084 @ 1400 211 198.9 EM, DI, TC, SPL, 12 3412 650 @ 2100 169 238.5 1896 @ 1400 192 181.2 EM, DI, TC, SPL,